Instruction 1(b)

FORM 4

Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Check this box if no longer subject to

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  ROTE WILLIAM E.						2. Issuer Name and Ticker or Trading Symbol Retrophin, Inc. [ RTRX ]									k all app Direc	licable)		rson(s) to Is 10% Ov Other (s	wner
	(Last) (First) (Middle) C/O RETROPHIN, INC. 3721 VALLEY CENTRE DRIVE, STE 200				3. Date of Earliest Transaction (Month/Day/Year) 09/18/2020									X	Sen	ior Vice F	Presid	below)	)
(Street) SAN DIEGO CA 92130 (City) (State) (Zip)					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Ind Line) X	′				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day						Exec if an	Deemed cution Date, ny nth/Day/Year)				es Acquired (A Of (D) (Instr. 3,		4 and Securi		ties cially Following	Forn (D) c	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	(A) or (D)		се	Transa	ransaction(s) nstr. 3 and 4)			(111511.4)
Common Stock 09/18/2					2020				<b>A</b> <sup>(1)</sup>		3,000	A	\$	0.00	27	,086(2)		D	
Common Stock 09/22/2					2020				S <sup>(3)</sup>		1,500	D	\$1	8.59	25,586			D	
		Tal									osed of, convertib				Owne	d	•	•	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed ion Date, /Day/Year)		Transaction Code (Instr.		rative rities sired r osed ) r. 3, 4	Expirat	e Exercisable and ution Date h/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		De Se (In	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Number of Shares						

## **Explanation of Responses:**

- 1. On May 9, 2019, the reporting person was granted performance restricted stock units (PRSUs) covering 6,000 shares of the Issuer's common stock, which vest upon the satisfaction of certain performance criteria. On September 18, 2020, a portion of the PRSUs vested upon the Issuer's confirmation that the first 280 patients in the sparsentan PROTECT Phase 3 Study had been enrolled.
- 2. Includes 592 shares of the Issuer's common stock acquired by the reporting person on May 31, 2020 pursuant to an employee stock purchase program
- 3. The sales reported in this Form 4 were made pursuant to a written plan meeting the requirements of Rule 10b5-1(c) of the Securities Exchange Act of 1934, as amended, and includes the sale of shares to cover the tax obligation that occurred upon the vesting of performance restricted stock units

## Remarks:

/s/ Elizabeth E. Reed, Attorney-in-Fact

09/22/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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